

# Quantum Mechanics on Manifolds Embedded in Euclidean Space

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## Abstract

Quantum particles confined to surfaces in higher dimensional spaces are acted upon by forces that exist only as a result of the surface geometry and the quantum mechanical nature of the system. The dynamics are particularly rich when confinement is implemented by forces that act normal to the surface. We review this *confining potential formalism* applied to the confinement of a particle to an arbitrary manifold embedded in a higher dimensional Euclidean space. We devote special attention to the geometrically induced gauge potential that appears in the effective Hamiltonian for motion on the surface. We emphasize that the gauge potential is only present when the space of states describing the degrees of freedom normal to the surface is degenerate. We also distinguish between the effects of the intrinsic and extrinsic geometry on the effective Hamiltonian and provide simple expressions for the induced scalar potential. We discuss examples including the case of a 3-dimensional manifold embedded in a 5-dimensional Euclidean space.

## 1 Introduction

In quantum mechanics the problem of constraining particle motion to a spatial manifold embedded in a Euclidean space  $R^n$  is conventionally treated in one of two ways. In the *intrinsic quantization* approach, the motion is constrained to the manifold a priori. A classical Hamiltonian is constructed from coordinates and momentum intrinsic to the surface and the system is quantized canonically. In this case, the embedding space  $R^n$  is irrelevant and the quantum system depends only on the geometry intrinsic to the manifold. In the *confining potential* approach, the particle is confined by a strong force that acts normal to the manifold. An effective Hamiltonian for propagation on the hypersurface is obtained

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by freezing the motion normal to the surface in a low state of excitation of the confining potential. This effective Hamiltonian depends on the intrinsic geometry *and* on the way that the hypersurface is embedded in  $R^n$ . The intrinsic quantization scheme suffers from ordering ambiguities that allow for multiple consistent quantization procedures that differ by a term proportional to the scalar curvature of the hypersurface [1]. On the other hand, the confining potential approach yields a unique effective Hamiltonian that depends on the physical mechanism of the constraint. In any real physical system we know of, constrained motion is the result of a strong confining force, and so one can argue that the confining potential formalism offers a physically more realistic model of constraints. Although intrinsic quantization has been studied since the earliest days of quantum theory, the confining potential approach has only received serious attention in the last decade or two [2, 3, 4].

The confining potential approach has now been studied for a variety of systems using a variety of different confining forces. It has been applied to the study of both spinless and spin- $\frac{1}{2}$  particles confined to thin tubes and especially under the assumption that the curvature of the tube is small and slowly varying [4, 5]. Spinless particles confined to surfaces in three dimensional space have also been studied by da Costa [3], and the generalization to an arbitrary  $m$ -dimensional manifold  $M^m$  embedded in a  $n$ -dimensional Euclidean space has been carried out by subsequent authors [6, 7, 8, 9]. Extensions of the confining potential approach have been applied to solitons with confined collective degrees of freedom [9] and to systems for which the effective Hamiltonian on the hypersurface admits supersymmetric states [10]. Notable applications of the confining potential formalism include the study of rotational spectra of molecules [7] and the study of electrons in Quantum Hall devices [11]. In this paper, we review and develop the confining potential formalism in the spirit of Refs. [9] and [6]. We devote special attention to the group-theoretic structure of the torsion-dependent terms that appear in the effective Hamiltonian, as well as the role played by symmetries of the confining potential. We also recast the mathematical form of the curvature-dependent potentials found by previous authors in terms of “principal curvatures” so that the effects of the embedding structure on the effective dynamics can be more easily understood.

Our paper is organized as follows. In Section 2, we briefly discuss the physical motivation behind the confining potential approach. In Section 3, we introduce an adapted coordinate system that allows one to separate normal from tangential degrees of freedom on  $M^m$ . In Section 4, we derive the effective Hamiltonian governing motion on  $M^m$  by rescaling the collective normal coordinates and developing a perturbative expansion in small parameters of the complete Hamiltonian as found in [7]. In Section 5, we discuss in detail the gauge structure of the effective theory with special attention devoted to the representation content of the gauge fields. In Section 6, we discuss the intrinsic versus extrinsic geometrical contributions to the effective theory on  $M^m$  and give examples that illustrate the possible physics for  $M^3$  embedded in  $R^{n \geq 4}$ . Section 7 concludes with final thoughts and discussion.

## 2 The Confining Potential Approach

We limit ourselves to the case of a scalar confining potential, although magnetic-like vector potentials and gravitational-like tensor potentials are physically relevant in some instances and have received attention in the literature [12]. A strong confining potential is introduced in all directions normal to the hypersurface. The effect of this potential is to constrain the particle to the manifold by raising the energy of normal excitations far beyond the energy scale associated with motion tangent to the hypersurface. The Hamiltonian then separates into a term governing high energy confined motion in the directions normal to  $M^m$ , a term governing low energy motion tangent to  $M^m$ , and interaction terms that couple the normal and the tangential degrees of freedom. To obtain an effective Hamiltonian on  $M^m$ , the total Hamiltonian is projected onto a low-lying multiplet of normal states, typically the ground state. The effective Hamiltonian governing dynamics on  $M^m$  is found to be the Laplacian on  $M^m$  coupled minimally to a background gauge field plus a scalar quantum effective potential that depends on the principal curvatures of  $M^m$  [3]. The gauge group is whatever subgroup of  $\text{SO}(n - m = p)$  is preserved by the confining potential. The strength and representation content of the gauge terms appearing in the effective theory depend not only on the properties of the embedding of  $M^m$ , but also crucially on the symmetries of the space of normal states. When the normal space is trivial, the gauge interaction in the effective Hamiltonian vanishes identically. Only in cases where the normal space is nontrivial (i.e., possesses degeneracies) will the gauge interaction be nonzero. Thus static external  $\text{SO}(p)$  gauge fields can be geometrically induced by confining particles to manifolds that are embedded nontrivially in a higher dimensional Euclidean space using confining potentials that admit a degenerate space of normal states.

## 3 Geometry

To study the quantum mechanics of a spinless particle confined to an  $m$ -dimensional manifold  $M^m$  embedded in  $n$ -dimensional Euclidean space  $R^n$ , we first define a coordinate system that facilitates the separation of the degrees of freedom normal to  $M^m$  from those that are tangential. With  $\mathbf{R} : M^m \rightarrow R^n$  denoting an embedding of  $M^m$  in  $R^n$ , and  $x^\mu, \mu = 1, \dots, m$  a local coordinate system on  $M^m$ , we introduce an *adapted coordinate frame*  $\mathcal{F}$  defined by a smooth assignment of  $m$  linearly independent tangent vectors  $\mathbf{t}_\mu = \partial_\mu \mathbf{R}$ , and  $n - m = p$  orthogonal normal vectors  $\hat{\mathbf{n}}^i(x), i = m + 1, \dots, n$ . In a sufficiently small neighborhood of  $M^m$ , the Cartesian coordinates,  $\mathbf{r}$ , for a point in  $R^n$  can be reexpressed as

$$\mathbf{r}(x, y) = \mathbf{R}(x) + y^i \hat{\mathbf{n}}^i(x) \quad (1)$$

where  $x$  denotes an appropriate set of the  $x^\mu$  and  $y$  a set of distances  $y^i$  from  $M^m$  in the directions  $\hat{\mathbf{n}}^i(x)$ . The metric in the frame  $\mathcal{F}$  is defined by

$$G_{AB} \equiv \partial_A \mathbf{r} \cdot \partial_B \mathbf{r} \quad (2)$$

where  $A, B = 1, \dots, n$ , and derivatives are taken with respect to adapted frame coordinates,  $x^\mu$  and  $y^i$ . To calculate  $G_{AB}$ , we need expressions for  $\partial_\mu \hat{\mathbf{n}}^i$  and  $\partial_\mu \mathbf{t}_\nu$ . Applying a generalized form of the Frenet-Serret equations [13], we may write

$$\begin{aligned}\partial_\mu \hat{\mathbf{n}}^i &= -\alpha_{\mu}^{i\nu} \mathbf{t}_\nu - A_{\mu}^{ij} \hat{\mathbf{n}}^j \\ \partial_\mu \mathbf{t}_\nu &= \Gamma_{\mu\nu}^\rho \mathbf{t}_\rho + \alpha_{\mu\nu}^i \hat{\mathbf{n}}^i\end{aligned}\tag{3}$$

where

$$\begin{aligned}g_{\mu\nu} &= \mathbf{t}_\mu \cdot \mathbf{t}_\nu \\ \alpha_{\mu\nu}^i &= \mathbf{t}_\mu \cdot \partial_\nu \hat{\mathbf{n}}^i \\ A_{\mu}^{ij} &= \hat{\mathbf{n}}^i \cdot \partial_\mu \hat{\mathbf{n}}^j\end{aligned}\tag{4}$$

and “ $\cdot$ ” is the standard inner product on  $R^n$ . In the language of differential geometry,  $g_{\mu\nu}$ ,  $\alpha_{\mu\nu}^i$ , and  $A_{\mu}^{ij}$  are the first fundamental form (the metric on  $M^m$ ), the second fundamental form, and the normal fundamental form, respectively. The  $\Gamma_{\mu\nu}^\rho$  are the usual Christoffel symbols, but they will play little role in the discussion that follows. We follow conventional notation in that lower indices on tensors are obtained from upper indices by contraction with  $g_{\mu\nu}$ .

Note that the choice of an adapted coordinate frame is not unique. In particular, one adapted coordinate frame is carried into another by a point-dependent rotation of the  $\hat{\mathbf{n}}^i$ . Under the action of a rotation,  $R^{ij}(x)$ , on the normal vectors  $\hat{\mathbf{n}}^i$ ,  $\alpha_{\mu\nu}^i$  transforms as an  $SO(p)$  vector, and  $A_{\mu}^{ij}$  as an  $SO(p)$  gauge connection

$$A_{\mu}^{ij} \longrightarrow R^{ik} A_{\mu}^{kl} R^{jl} + R^{ik} \partial_\mu R^{jk}.\tag{5}$$

The metric can be determined from eqs. (2) and (3), and is given by

$$G_{AB} = \begin{pmatrix} \gamma_{\mu\nu} + y^k y^l A_{\mu}^{kh} A_{\nu}^{lh} & y^k A_{\mu}^{jk} \\ y^k A_{\nu}^{ik} & \delta^{ij} \end{pmatrix},\tag{6}$$

where  $\gamma_{\mu\nu}$  is given by

$$\gamma_{\mu\nu} = g_{\mu\nu} - 2y^k \alpha_{\mu\nu}^k + y^k y^l \alpha_{\mu\rho}^k g^{\rho\sigma} \alpha_{\sigma\nu}^l.\tag{7}$$

Calculating the determinant of  $G_{AB}$ , we find  $|G| = |\gamma|$ , where  $|\gamma|$  is the determinant of  $\gamma_{\mu\nu}$ . Moreover, a calculation of the inverse of the metric tensor yields the exact expression,

$$G^{AB} = \begin{pmatrix} \lambda^{\mu\nu} & \lambda^{\mu\sigma} y^k A_{\sigma}^{kj} \\ \lambda^{\nu\sigma} y^k A_{\sigma}^{ki} & \delta^{ij} + y^k y^l A_{\sigma}^{ik} A_{\rho}^{jl} \lambda^{\sigma\rho} \end{pmatrix}\tag{8}$$

where  $\lambda^{\mu\nu} \equiv (\gamma^{-1})_{\mu\nu}$  is the inverse of  $\gamma_{\mu\nu}$ .<sup>1</sup>

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<sup>1</sup>It should be noted that in the literature  $\lambda^{\mu\nu}$  is sometimes confused with  $\gamma^{\mu\nu}$ .

## 4 Derivation of the Effective Hamiltonian

Having developed a convenient characterization of the geometry of  $M^m$  embedded in  $R^n$ , we can construct the Hamiltonian  $H$  on  $M^m$ . The quantum description of dynamics on  $M^m$  is unambiguously defined by the free Hamiltonian  $H_E$  on  $R^n$  together with the potential that confines the particle to  $M^m$ . To obtain the effective Hamiltonian on  $M^m$ , we rewrite  $H_E$  in terms of our adapted frame coordinates, and then project it onto the space of states describing the confined normal degrees of freedom. In Cartesian coordinates  $\mathbf{r}^A$ , and working in units where  $\hbar$  and the mass of our particle is equal to unity, we have

$$H_E = -\frac{1}{2}\partial_A^E \partial_A^E + V \quad (9)$$

where  $\partial^E$  denotes derivatives taken with respect to Euclidean coordinates, and  $V \equiv V(y)$  is the confining potential that depends only on the normal coordinates. Following convention, we normalize the wave function  $\Phi$  of the system according to the condition

$$\int |\Phi|^2 d^m r = 1. \quad (10)$$

Changing coordinates to  $x$  and  $y$ , the Hamiltonian given in eq. (9) becomes

$$H_E = -\frac{1}{2|G|^{1/2}}\partial_A G^{AB}|G|^{1/2}\partial_B + V \quad (11)$$

and the normalization condition of eq. (10) becomes

$$\int |\Phi|^2 |G|^{1/2} d^m x d^p y = 1. \quad (12)$$

Since we want to obtain a wave function describing a quantum mechanical probability density for a particle moving on  $M^m$ , we rescale the wave function  $\Phi$  by  $|G|^{1/4}/|g|^{1/4}$ , where  $|g|$  is the determinant of  $g_{\mu\nu}$ ,  $\Psi \equiv (|G|^{1/4}/|g|^{1/4})\Phi$ . Likewise, we rescale the Hamiltonian  $H_E$ ,  $H \equiv (|G|^{1/4}/|g|^{1/4})H_E(|g|^{1/4}/|G|^{1/4})$ .  $\Psi$  is then normalized on  $M^m$  as

$$\int d^m x |g|^{1/2} d^p y |\Psi|^2 = 1 \quad (13)$$

and so  $\int d^p y |\Psi|^2$  can be interpreted as a probability density for a particle moving on  $M^m$  defined with respect to the conventional manifold measure  $d^m x |g|^{1/2}$ . Returning to  $H$ , we may use the explicit form for  $G^{AB}$  in eq. (8) to obtain

$$\begin{aligned} H = & -\frac{1}{2|\gamma|^{1/4}}\partial_i |\gamma|^{1/2}\partial_i \frac{1}{|\gamma|^{1/4}} \\ & -\frac{1}{2|g|^{1/4}|\gamma|^{1/4}}\left(\partial_\mu \lambda^{\mu\nu} |\gamma|^{1/2}\partial_\nu + y^k y^l A_\mu^{ik} A_\nu^{jl} \partial_i \lambda^{\mu\nu} |\gamma|^{1/2}\partial_j \right. \\ & \left. + \partial_\mu \lambda^{\mu\rho} y^k A_\rho^{kj} |\gamma|^{1/2}\partial_j + \partial_i \lambda^{\nu\rho} y^k A_\rho^{ki} |\gamma|^{1/2}\partial_\nu\right) \frac{|g|^{1/4}}{|\gamma|^{1/4}} + V(y). \end{aligned} \quad (14)$$

Introducing  $\hat{\partial}_\mu \equiv \partial_\mu + \frac{1}{2}iA_\mu^{ij}L_{ij}$ , where the  $L_{ij} = i(y^j\partial_i - y^i\partial_j)$  are the angular momentum operators in the space normal to  $M^m$ , we may compactly rewrite eq. (14) as

$$H = -\frac{1}{2|\gamma|^{1/4}}\partial_i|\gamma|^{1/2}\partial_i\frac{1}{|\gamma|^{1/4}} - \frac{1}{2|g|^{1/4}|\gamma|^{1/4}}\hat{\partial}_\mu\lambda^{\mu\nu}|\gamma|^{1/2}\hat{\partial}_\nu\frac{|g|^{1/4}}{|\gamma|^{1/4}} + V(y). \quad (15)$$

Next we implement the constraint imposed by the confining potential  $V$ . To do this, we exploit the fact that  $V$  is a function of the normal coordinates  $y^i$  alone and  $V$  has a deep minimum at  $y^i = 0$ . Thus, we may expand  $V$  as a power series in the  $y^i$  about its minimum,

$$V(y^i) = \frac{1}{2}\omega^2 y^{i2} + O(y^3) \quad (16)$$

where we have assumed that  $V$  is symmetric in the  $y^i$  up to quadratic order.<sup>2</sup> Since  $V$  has a deep minimum, we can neglect terms of order  $y^3$  and higher. In neglecting these terms, we are assuming that  $\omega$  is much larger than the scale of curvatures on  $M^m$ , denoted by  $\kappa$ . More specifically,  $\omega \gg \kappa^2$ . Following the approach of Refs. [7] and [8], we adsorb the scale of the frequency  $\omega$  in eq. (16) into a small dimensionless parameter  $\epsilon$ ,  $\omega \rightarrow \omega/\epsilon$ , so that the rescaled  $\omega$  is of order  $\kappa^2$ . We then use  $\epsilon$  as a natural perturbative parameter in the theory. Thus, the dominant pieces of the Hamiltonian in eq. (15) that act on the transverse space are

$$H_0 = -\frac{1}{2}\partial_i\partial_i + \frac{1}{2\epsilon^2}\omega^2 y^{i2}. \quad (17)$$

Formally, we want to consider the limit  $\epsilon \rightarrow 0$ . However, the divergence in the potential  $(1/2\epsilon^2)\omega^2 y^{i2}$  in the  $\epsilon \rightarrow 0$  limit complicates the analysis. To avoid this problem, we rescale the coordinates  $y^i$ , as  $y^i \rightarrow \epsilon^{1/2}y^i$ , which allows us to rewrite eq. (17) as

$$H_0 = \frac{1}{\epsilon}\left(-\frac{1}{2}\partial_i\partial_i + \frac{1}{2}\omega^2 y^{i2}\right). \quad (18)$$

Thus, we can study the  $\epsilon \rightarrow 0$  limit unambiguously by considering  $\epsilon H$ . We apply this approach to the complete Hamiltonian to develop an expansion of  $\epsilon H$  in powers of  $\epsilon$ ,

$$\epsilon H = \hat{H}_0 + \epsilon \hat{H} + O(\epsilon^{3/2}) \quad (19)$$

where

$$\hat{H}_0 = \frac{1}{2}\left(-\partial_i\partial_i + \omega^2 y^{i2}\right) \quad (20)$$

and<sup>3</sup>

$$\hat{H} = -\frac{1}{2g^{1/2}}\left(\partial_\mu + \frac{i}{2}A_\mu^{ij}L_{ij}\right)g^{\mu\nu}g^{1/2}\left(\partial_\nu + \frac{i}{2}A_\nu^{kl}L_{kl}\right) + \frac{1}{8}g^{\mu\nu}g^{\rho\sigma}\left(\alpha_{\mu\nu}^i\alpha_{\rho\sigma}^i - 2\alpha_{\mu\rho}^i\alpha_{\nu\sigma}^i\right). \quad (21)$$

Equation (21), which forms the basis of the subsequent analysis, was first obtained in full generality by Maraner and Destri [6]. Given that we are interested in the  $\epsilon \rightarrow 0$  limit, the

<sup>2</sup>Asymmetric scalar confining potentials are considered in Ref. [6]

<sup>3</sup>To obtain eq. (21) for  $\hat{H}$ , we have used  $\lambda^{\mu\nu} = g^{\mu\nu} + 2\epsilon^{1/2}y^k\alpha^{k\mu\nu} + 3\epsilon y^k y^l \alpha^{l\rho\nu}\alpha_\rho^{k\mu} + O(\epsilon^{3/2})$ .

only term beyond  $\hat{H}_0$  relevant in the perturbative expansion in eq. (19) is  $\hat{H}$ . From here on we keep only  $\hat{H}$  which survives as  $\epsilon \rightarrow 0$ .

To obtain an effective Hamiltonian on  $M^m$ , we need to “freeze” the normal degrees of freedom. We separate the wave function  $\Psi$  into a function depending on the normal coordinates  $y^i$ , and a function depending on the manifold coordinates  $x^\mu$

$$\Psi(x, y) = \sum_{\beta} \psi_{\beta}(x) \chi_{\beta}(y) \quad (22)$$

where the index  $\beta = 1, \dots, d$  labels any degeneracy that exists in the spectrum of the  $O(1/\epsilon)$  Hamiltonian  $\hat{H}_0/\epsilon$  governing the normal degrees of freedom.  $\hat{H}_0$  is degenerate because of the  $SO(p)$  symmetry of  $V(y)$ , and so the eigenstates of  $\hat{H}_0$  can be decomposed into irreducible  $SO(p)$  multiplets. For the case of a  $p$ -dimensional symmetric harmonic oscillator, the ground state of  $\hat{H}_0$  belongs to the trivial representation of  $SO(p)$ , while the first excited state belongs to the  $p$ -dimensional “fundamental” representation of  $SO(p)$ . The  $\chi_{\beta}(y)$  satisfy to  $O(1/\epsilon)$

$$\frac{1}{\epsilon} \hat{H}_0 \chi_{\beta}(y) = E_0 \chi_{\beta}(y) \quad (23)$$

where  $E_0$  gives the largest  $O(1/\epsilon)$  contribution to the total energy  $E$  of the system. Upon projection onto the space of states spanned by  $\chi_1(y), \dots, \chi_d(y)$ ,  $\hat{H}$  becomes a  $d \times d$  matrix  $\hat{\mathcal{H}}$  with components

$$\hat{\mathcal{H}}_{\alpha\beta} = \int d^p y \chi_{\alpha}^*(y) \hat{H} \chi_{\beta}(y). \quad (24)$$

$\hat{\mathcal{H}}$  acts on the wave function  $\vec{\psi}(x)$  (with components  $\psi_{\beta}(x)$ ), and the dynamics on  $M^m$  is determined by

$$\hat{\mathcal{H}} \vec{\psi}(x) = \hat{E} \vec{\psi}(x) \quad (25)$$

where  $\hat{E}$  is the  $O(\epsilon^0)$  correction to the total energy  $E$  of the system.

## 5 Gauge Structure

To better understand the structure of the effective Hamiltonian  $\hat{\mathcal{H}}$ , we return to expression (21). Defining  $d \times d$  matrices  $\mathcal{L}_{ij}$  and  $\mathcal{L}_{ij,kl}^2$  by

$$\begin{aligned} (\mathcal{L}_{ij})_{mn} &\equiv \int d^p y \chi_m^*(y) L_{ij} \chi_n(y) \\ (\mathcal{L}_{ij,kl}^2)_{mn} &\equiv \int d^p y \chi_m^*(y) L_{ij} L_{kl} \chi_n(y) \end{aligned} \quad (26)$$

and using eq. (24), the effective Hamiltonian on  $M^m$  can be rewritten as

$$\hat{\mathcal{H}} = -\frac{1}{2g^{1/2}} (\partial_{\mu} - i\mathcal{A}_{\mu}) g^{\mu\nu} g^{1/2} (\partial_{\nu} - i\mathcal{A}_{\nu}) + \mathcal{P} \quad (27)$$

where  $\mathcal{P}$  and  $\mathcal{A}_\mu$  are the  $d \times d$  matrices

$$\begin{aligned}\mathcal{P} &= \frac{1}{8} g^{\mu\nu} g^{\rho\sigma} (\alpha_{\mu\nu}^i \alpha_{\rho\sigma}^i - 2\alpha_{\mu\rho}^i \alpha_{\nu\sigma}^i) \mathcal{I} \\ \mathcal{A}_\mu &= \frac{1}{2} A_\mu^{rs} \mathcal{L}_{sr}\end{aligned}\tag{28}$$

and  $\mathcal{I}$  is the  $d \times d$  identity matrix.

The algebra leading to eq. (27) generates a term  $\mathcal{W} = \frac{1}{8} g^{\mu\nu} A_\mu^{ij} A_\nu^{kl} (\mathcal{L}_{ij,kl}^2 - \mathcal{L}_{ij} \mathcal{L}_{kl})$  which is kept explicitly by other workers. Since the confining potential  $V(y)$  possesses a  $\text{SO}(p)$  symmetry, all of the  $\mathcal{L}_{ij}$ 's commute with  $\hat{\mathcal{H}}$  and  $\{\chi_\beta(y)\}$  forms a complete set of states for the subspace spanned by  $L_{ij}\chi_1(y), \dots, L_{ij}\chi_d(y)$  for all  $i, j$ . Consequently,  $\mathcal{W}$  is zero.

$\hat{\mathcal{H}}$  is the Hamiltonian for a spinless particle in a curved space in the presence of background  $\text{SO}(p)$  gauge fields and a geometrically induced potential. We emphasize that the gauge potentials are only present if the normal wavefunction lies in a degenerate, nontrivial representation of  $\text{SO}(p)$ . The effective physics on  $M^m$  governed by  $\hat{\mathcal{H}}$  remains invariant under local  $\text{SO}(p)$  gauge transformations of the normal coordinates. Under  $\text{SO}(p)$  rotations of the  $\hat{\mathbf{n}}^i$ ,  $\mathcal{A}_\mu$  transforms as a gauge field in the adjoint representation of  $\text{SO}(p)$ , while  $\vec{\psi}(x)$  transforms in some  $d$ -dimensional representation  $D_d$  of  $\text{SO}(p)$ . In particular, under the transformation

$$\hat{\mathbf{n}}^i \longrightarrow (\mathcal{R})_{ij} \hat{\mathbf{n}}^j \tag{29}$$

where  $\mathcal{R} = e^{i\theta_{ij} \tilde{\mathcal{L}}_{ij}}$  is an element of the vector representation of  $\text{SO}(p)$ ,  $\vec{\psi}(x)$ ,  $\mathcal{A}_\mu$ , and  $\mathcal{P}$  transform as

$$\begin{aligned}\vec{\psi}(x) &\longrightarrow \mathcal{V} \vec{\psi}(x) \\ \mathcal{A}_\mu &\longrightarrow \mathcal{V} \mathcal{A}_\mu \mathcal{V}^T + \mathcal{V} \partial_\mu \mathcal{V}^T \\ \mathcal{P} &\longrightarrow \mathcal{P}\end{aligned}\tag{30}$$

where  $\mathcal{V} = e^{i\theta_{ij} \mathcal{L}_{ij}}$  is in the  $D_d$  matrix representation of  $\text{SO}(p)$ . As promised, the invariance of  $V$  under  $\text{SO}(p)$ -rotations is realized as an  $\text{SO}(p)$  gauge invariance of the effective theory on  $M^m$ .

The field strength tensor,  $\mathcal{G}_{\mu\nu}$ , associated with the gauge potential  $\mathcal{A}_\mu$  is given by

$$\mathcal{G}_{\mu\nu} = \partial_\mu \mathcal{A}_\nu - \partial_\nu \mathcal{A}_\mu + [\mathcal{A}_\mu, \mathcal{A}_\nu]. \tag{31}$$

Although a nonvanishing field strength is a sufficient condition for the gauge potential  $\mathcal{A}_\mu$  to have a physical effect, it is not necessary. As Takagi and Tanzawa have noted, even in cases with vanishing field strength, global Aharonov-Bohm effects can exist when the constraint hypersurface has nonvanishing torsion [4]. Subsequent authors have further explored the connection between Aharonov-Bohm effects and the geometry of the constraint hypersurface for the case of  $M^1$  embedded in  $R^n$  [9].



## 6 Intrinsic and Extrinsic Geometry Contributions

In this section, we consider two questions: First, what features of  $\hat{\mathcal{H}}$  *cannot* be purely attributed to the intrinsic geometry of  $M^m$ ? Second, what type of embedding structure is needed to generate nontrivial geometrically induced physics effects for the case of  $M^3$  embedded in  $R^{n \geq 4}$ ?

Upon examining eqs. (27) and (28), we see that the *intrinsic* contributions to  $\hat{\mathcal{H}}$  are from the Laplacian on  $M^m$  involving the adapted frame metric  $g_{\mu\nu}$ . *Extrinsic* contributions to  $\hat{\mathcal{H}}$  occur through the momentum independent potential  $\mathcal{P}$  and through the minimally coupled gauge field  $\mathcal{A}_\mu$ .  $\mathcal{P}$  depends on the extrinsic geometry of the embedding of  $M^m$  in  $R^n$  and is purely quantum mechanical (i.e., does not survive in the classical limit).  $\mathcal{P}$  was generated by rescaling the Hamiltonian to adaptive coordinates, so it represents a quantum “fictitious” force associated with the adapted frame  $\mathcal{F}$ . In order to understand better how the embedding generates the effective potential, we rewrite  $\mathcal{P}$  in terms of the geometrically invariant principal curvatures of  $M^m$ . There are  $m$  principal curvatures for each normal vector  $\hat{\mathbf{n}}^i$  given by the eigenvalues of the matrix

$$(\hat{\alpha}_i)_{\mu\nu} = \alpha_\mu^{i\nu}. \quad (32)$$

Denoting the  $\mu^{th}$  principal curvature corresponding to the  $i^{th}$  normal  $\hat{\mathbf{n}}^i$  as  $\kappa_{\mu,i}$ , we introduce the linear and quadratic polynomials symmetric in the  $\kappa_{\mu,i}$  for each  $i$  independently,

$$s_{1,i} = \sum_{\mu} \kappa_{\mu,i} \quad s_{2,i} = \sum_{\mu < \nu} \kappa_{\mu,i} \kappa_{\nu,i}. \quad (33)$$

In terms of the  $s_{1,i}$  and  $s_{2,i}$ , we have

$$\begin{aligned} \mathcal{P} &= \frac{1}{8} \sum_i (\text{tr}(\hat{\alpha}_i)^2 - 2\text{tr}(\hat{\alpha}_i^2)) \mathcal{I} \\ &= -\frac{1}{8} \sum_i (s_{1,i}^2 - 4s_{2,i}) \mathcal{I}. \end{aligned} \quad (34)$$

As first pointed out in Refs. [4] and [3], the effective potential for the cases of  $M^{1,2}$  embedded in  $R^3$  is given by,

$$\begin{aligned} \mathcal{P} &= -\frac{1}{8} \kappa^2 \mathcal{I} & (M^1), \\ \mathcal{P} &= -\frac{1}{8} (\kappa_1 - \kappa_2)^2 \mathcal{I} & (M^2), \end{aligned} \quad (35)$$

where  $\kappa$  is the curvature of the curve  $M^1$ , and  $\kappa_1, \kappa_2$  are the principal curvatures of  $M^2$ . For both of these cases, the effective potential is strictly negative. For the more general case of  $M^{m \geq 3}$  embedded in  $R^{n \geq m+1}$ , the effective potential can locally equal any real valued smooth function defined on  $M^m$ . For example, with  $\kappa_{1,2,3}$  denoting principle curvatures

$$\mathcal{P} = -\frac{1}{8} \left( \kappa_3 (\kappa_3 - 2(\kappa_1 + \kappa_2)) + (\kappa_1 - \kappa_2)^2 \right) \mathcal{I} \quad (36)$$

for the case of  $M^3$  embedded in  $R^4$ .

The “fictitious force” potential  $\mathcal{P}$  is nonzero for a general embedding of  $M^3$  in  $R^n$ . The other geometry-dependent interaction that can appear in  $\hat{\mathcal{H}}$  is the gauge term  $\mathcal{A}_\mu$ . For the simplest 3-dimensional case of  $M^3$  embedded in  $R^4$ ,  $V$  is a 1-dimensional potential with nondegenerate energy eigenstates, and so  $\mathcal{A}_\mu$  vanishes. For  $M^3$  embedded in  $R^{n \geq 5}$ ,  $\mathcal{A}_\mu$  can be nonzero when the  $\chi_\beta(y)$  are locked into a degenerate subspace of  $V$ . In the degenerate cases, the gauge interaction has  $U(1)$  symmetry for  $m = 3$  and  $n = 5$ , and  $SO(3)$  symmetry for  $m = 3$  and  $n = 6$ .

To further illustrate the physics for a 3-dimensional manifold, consider the embedding of a hypersurface  $M^3$  in  $R^5$  given by

$$\mathbf{R}(x, y, z) = (x \cos \rho z, x \sin \rho z, x, y, z). \quad (37)$$

In this example, the  $x$  and  $z$  coordinates have been mapped onto a helical surface in a 3-dimensional subspace of  $R^5$  and the remaining  $y$  coordinate mapping is flat. Using an adapted frame field

$$\begin{aligned} \mathbf{t}_x &= (\cos \rho z, \sin \rho z, 1, 0, 0) \\ \mathbf{t}_y &= (0, 0, 0, 1, 0) \\ \mathbf{t}_z &= (-\rho x \sin \rho z, \rho x \cos \rho z, 0, 0, 1) \\ \hat{\mathbf{n}}^1 &= \frac{1}{\sqrt{2}}(\cos \rho z, \sin \rho z, -1, 0, 0) \\ \hat{\mathbf{n}}^2 &= \frac{1}{\sqrt{1 + \rho^2 x^2}}(\sin \rho z, -\cos \rho z, 0, 0, \rho x) \end{aligned} \quad (38)$$

we may calculate the nonvanishing components of the fundamental forms and obtain  $g_{\mu\nu} = \text{diag}(1, 1, 1 + \rho^2 x^2)$ ,  $\alpha_{\mu\nu}^1 = \text{diag}(0, 0, \rho^2 x / \sqrt{2})$ ,

$$\alpha_{\mu\nu}^2 = \begin{pmatrix} 0 & 0 & \frac{\rho}{\sqrt{1 + \rho^2 x^2}} \\ 0 & 0 & 0 \\ \frac{\rho}{\sqrt{1 + \rho^2 x^2}} & 0 & 0 \end{pmatrix} \quad (39)$$

and  $A_z^{12} = \rho / \sqrt{2 + 2\rho^2 x^2}$ . Moreover, the field strength tensor  $\mathcal{G}_{\mu\nu}$  has nonvanishing components

$$\mathcal{G}_{\mu\nu} = \begin{pmatrix} 0 & 0 & -\frac{l\rho^3 x}{\sqrt{2}(1 + \rho^2 x^2)^{3/2}} \\ 0 & 0 & 0 \\ \frac{l\rho^3 x}{\sqrt{2}(1 + \rho^2 x^2)^{3/2}} & 0 & 0 \end{pmatrix} \quad (40)$$

where  $l$  is the expectation value of the normal state angular momentum operator  $\mathcal{L}_{12}$ . The induced potential  $\mathcal{P}$  can be obtained from  $\hat{\alpha}_1 = \text{diag}(0, 0, \rho^2 x / \sqrt{2}(1 + \rho^2 x^2))$ ,

$$\hat{\alpha}_2 = \begin{pmatrix} 0 & 0 & \frac{\rho}{(1 + \rho^2 x^2)\sqrt{1 + \rho^2 x^2}} \\ 0 & 0 & 0 \\ \frac{\rho}{\sqrt{1 + \rho^2 x^2}} & 0 & 0 \end{pmatrix} \quad (41)$$

and is given by

$$\mathcal{P} = -\frac{\rho^2}{8(1 + \rho^2 x^2)^2} \left(4 + \frac{1}{2}\rho^2 x^2\right) \mathcal{I}. \quad (42)$$

Given a confining potential with Abelian  $\text{SO}(2) \cong \text{U}(1)$  invariance, a nonvanishing field strength tensor implies that the  $\text{U}(1)$  induced gauge potential  $\mathcal{A}_\mu$  cannot be transformed away. Assuming that the normal states are locked into a subspace with nonvanishing angular momentum  $l$ , the gauge potential is given by

$$\mathcal{A}_\mu = \left(0, 0, \frac{\rho l}{\sqrt{2 + 2\rho^2 x^2}}\right) \quad (43)$$

and the corresponding background magnetic-like field is

$$\mathcal{B}_\mu = \nabla \times \mathcal{A}_\mu = \left(0, \frac{\rho^3 x l}{\sqrt{2}(1 + \rho^2 x^2)^{3/2}}, 0\right). \quad (44)$$

For fixed  $x$ , an observer on  $M^3$  would feel the presence of a magnetic field along the  $y$ -direction and an attractive  $x$ -dependent scalar potential centered at  $x = 0$ , both of which tend to zero as  $x$  goes to infinity.

In addition to curves and surfaces embedded in  $R^3$ , other examples that have received attention in the literature include  $\text{SO}(3)$  embedded in  $R^{3n}$  [7, 8], as well as generalized curves  $M^1$  and  $S^m$  embedded in  $R^n$  [9].

## 7 Conclusion

Confinement of particle motion to a curved manifold generates gauge fields as well as fictitious forces in the effective theory on the manifold. We have applied the confining potential formalism to the study of systems with confined degrees of freedom, and demonstrated that in the adiabatic limit of slowly varying curvature, the strength and representation content of the gauge terms appearing in the effective theory depends crucially on the space of normal states. The gauge terms vanish when the normal state is nondegenerate.

In addition to gauge terms, fictitious forces that depend on the extrinsic geometry of the constraint manifold also appear in the effective theory. The extrinsic geometric contributions to the theory highlight a fundamental difference between confinement in classical

versus quantum physics. In classical mechanics, dynamics on the constraint manifold is independent of the directions normal to the manifold and therefore depends only on intrinsic geometry. In quantum mechanics, the Schrödinger wave function of the system is always nonzero in some neighborhood of the constraint manifold and is therefore sensitive to both intrinsic and extrinsic geometry.

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